

# First Trust SMID Rising Dividend Achievers UCITS ETF

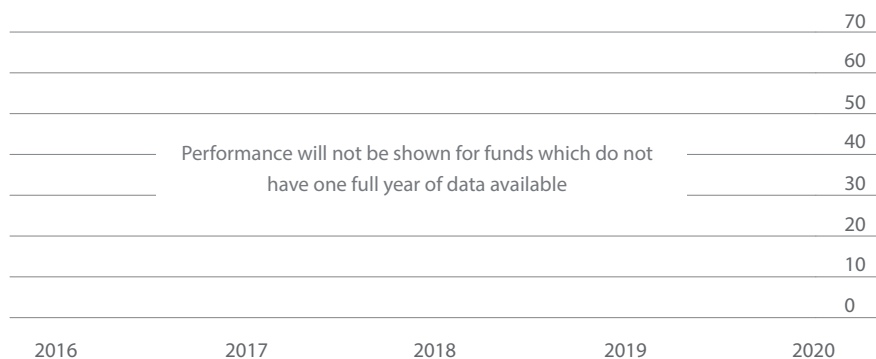
The First Trust SMID Rising Dividend Achievers UCITS ETF (the "Fund"), is a passively managed Fund that seeks investment results that correspond generally to the price and yield (before the Fund's fees and expenses) of the Nasdaq US Small Mid Cap Rising Dividend Achievers™ Index (the "Index"). The Index is comprised of securities in small and medium sized companies that have increased their dividends over the previous three year and five year periods, whilst exhibiting characteristic that may position them to continue to do so in future, including reporting greater earnings per share in the most recent fiscal year than the earnings per share three years prior, and a cash to debt ratio of greater than 25%. The Index is designed to provide access to a portfolio of small and mid-capitalisation income producing securities.

## Performance

The figures shown relate to past performance and do not predict future returns

Performance Since Inception (%)

■ Acc USD



Performance Summary (%)	1 M	3 M	YTD	1 Y	3 Y	5 Y	Since Inception
Acc USD	-	-	-	-	-	-	-
Tracking Index	-	-	-	-	-	-	-

Performance for periods under one year is cumulative and over one year is annualised.

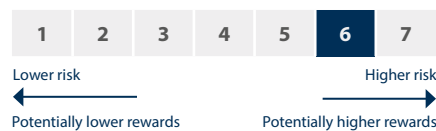
12-Month Performance Periods (%)	30.09.19 30.09.20	30.09.20 30.09.21	30.09.21 30.09.22	30.09.22 30.09.23	30.09.23 30.09.24
Acc USD	-	-	-	-	-
Tracking Index	-	-	-	-	-

Fund performance is the performance of the share class since its inception, shown net of fees including re-invested dividends in the base currency of the share class which is in USD. Performance can go up as well as down, and investors may lose some or all of their capital. Returns may increase or decrease as a result of currency and exchange rate fluctuations. Please refer to the 'Risks' section on Page 3 for more details on risks associated with an investment in the fund. The share class was launched less than 5 years ago, therefore performance for some periods is not available.

<sup>1</sup>The ongoing charges figure is based on the Fund's annual management fee, whereby the Investment Manager is responsible for the costs involved in running the Fund. In the event that the Fund's running costs exceed the stated figure the Investment Manager shall pay the excess from its own assets. The ongoing charges figure excludes portfolio transaction costs. Details of the transaction costs are available from your broker or financial advisor and at [www.ftgportfolios.com](http://www.ftgportfolios.com). The figure may vary from year to year.

SDVY	Acc USD
ISIN	IE0001R850E1
Asset Class	Equity
Fund Inception	09.04.2024
Share Class Inception	09.04.2024
Ongoing Charges <sup>1</sup>	0.60%
Base Currency	USD
Income Treatment	Accumulating
Total Fund AUM	\$22.44 million
Outstanding Shares	1,050,002
UCITS Compliant	Yes
Domicile	Ireland
Investment Manager	First Trust Advisors
Rebalance Frequency	Quarterly
Replication Method	Physical - Full Replication
SFDR Classification	Article 6
Tracking Index	Nasdaq US Small Mid Cap Rising Dividend Achievers Index™

## RISK AND REWARD PROFILE



The Synthetic Risk and Reward Indicator (SRRI) illustrates a fund's risk and reward profile through a number between 1 and 7.

## Trading Information

Exchange	Ccy	Ticker	SEDOL
London Stock Exchange	USD	SDVY LN	BRC70V8
London Stock Exchange	GBP	SMID LN	BRC70W9
Deutsche Börse Xetra	EUR	FTGD GY	BP9N1S9

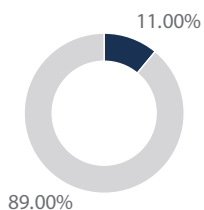
ISA
  SIPP
  UK Reporting Status

## Portfolio

### TOP 10 HOLDINGS (%)

A.O. Smith Corporation	1.13
Expeditors International of Washington, Inc.	1.11
CF Industries Holdings, Inc.	1.10
Landstar System, Inc.	1.10
Matson, Inc.	1.10
Steel Dynamics, Inc.	1.10
Chesapeake Energy Corporation	1.09
Corebridge Financial, Inc.	1.09
Ralph Lauren Corporation	1.09
Westlake Corporation	1.09

Top 10 Holdings as % of Total



Top 10 holdings as a percentage of Total Net Assets. Portfolio Holdings are subject to change at any time. References to specific securities should not be construed as a recommendation to buy or sell and should not be assumed profitable.

### SECTOR ALLOCATION (%)

Financials	31.37	<div style="width: 31.37%;"></div>
Industrials	24.72	<div style="width: 24.72%;"></div>
Consumer Discretionary	20.99	<div style="width: 20.99%;"></div>
Energy	9.08	<div style="width: 9.08%;"></div>
Basic Materials	8.59	<div style="width: 8.59%;"></div>
Technology	4.21	<div style="width: 4.21%;"></div>
Consumer Staples	1.04	<div style="width: 1.04%;"></div>

### FUND CHARACTERISTICS

Max Market Cap	\$83.2bn
Median Market Cap	\$8.0bn
Min Market Cap	\$1.1bn
Price/Earnings	13.32
Price/Book	1.97
Price/Cash Flow	9.77
Price/Sales	1.40
Index Yield	2.37%

- The Index begins with the stocks in the Nasdaq US Mid Cap™ Index and the Nasdaq US Small Cap™ Index and eliminates those companies classified as a Real Estate Investment Trust by the Industry Classification Benchmark.
- To be eligible for inclusion in the Index, companies must have:
  - a minimum three-month average daily dollar trading volume of \$2 million;
  - a minimum market cap of \$500 million;
  - paid a dividend in the trailing twelve-month period greater than the dividend paid in the trailing twelve-month period three and five years prior;
  - positive earnings per share in the most recent fiscal year greater than the earnings per share three fiscal years prior
  - a cash to debt ratio greater than 25%; and
  - a trailing twelve-month period payout ratio no greater than 65%.
- Eligible securities are ranked by a combined factor of dollar dividend increase over the previous five year period, current dividend yield, and payout ratio.
- The 100 eligible securities with the lowest aggregated rank are selected for inclusion in the index, subject to a maximum of 30% from any one sector and 75% from the Nasdaq US Mid Cap™ Index and the Nasdaq US Small Cap™ Index.
- The index stocks are equally weighted initially and on each rebalancing effective date.
- The index is reconstituted annually and rebalanced quarterly.

## Risks

- The Fund may not achieve its investment objective and the value of shares in the Fund may fall.
- Neither First Trust Global Portfolios Management Limited ("FTGPM") nor any of its affiliates, guarantees the performance or the future returns of the Fund.
- There is no assurance that the Index will continue to be calculated and published on the basis described in the Prospectus. In addition, the Fund's return may not match the return of the Index.
- See also the description of the risks applicable to the Fund in "Risk Factors" in the Prospectus.

## Important Information

### UK RETAIL

This marketing communication is issued by First Trust Global Portfolios Management Limited ("FTGPM") whose place of business is Fitzwilliam Hall, Fitzwilliam Place, Dublin 2, D02 T292. FTGPM is authorised and regulated by the Central Bank of Ireland ("CBI") (C185737). The Fund is also regulated by the CBI.

Nothing contained herein constitutes investment, legal, tax or other advice and it is not to be solely relied on in making an investment or other decision, nor does the document implicitly or explicitly recommend or suggest an investment strategy, reach conclusions in relation to an investment strategy for the reader, or provide any opinions as to the present or future value or price of any fund. It is not an invitation, offer, or solicitation to engage in any investment activity, including making an investment in the Fund, nor does the information, recommendations or opinions expressed herein constitute an offer for sale of the Fund.

The Fund is an open-ended sub-fund of First Trust Global Funds PLC (the "Company"), an umbrella UCITS fund with segregated liability between sub-funds, incorporated with limited liability as an investment company with variable capital under the laws of Ireland with UCITS registered number 514357.

The material in this marketing communication is not comprehensive and must therefore be read in conjunction with the Fund's prospectus, the relevant supplement, and the UCITS key investor information document ("KIID") which contains material information not contained herein, including the terms of investment and information regarding investment risks and restrictions, fees and expenses and conflicts of interests. Potential investors should pay particular attention to the risk disclosures in the "Risk Factors" section of the Fund's prospectus and supplement (available in English) and KIIDs (available for each share class of each of the sub-funds of the Company and in one of the official languages of each of the EU Member States into which each sub-fund has been notified for marketing under the Directive 2009/65/EC (the UCITS Directive)). No assurance can be given that the Fund's investment objective will be achieved or that the Fund will generate a positive return. Please contact First

Trust Global Portfolios Limited ("FTGP"), the distributor of the Fund, or visit [www.ftglobalportfolios.com](http://www.ftglobalportfolios.com) to obtain the Fund's prospectus, supplement and KIID. Investors and potential investors can obtain a summary in English of investor rights and information on access to collective redress mechanisms in the event of litigation at the following website: [https://www.ftglobalportfolios.com/Content/SUMMARY\\_OF\\_INVESTOR\\_RIGHTS](https://www.ftglobalportfolios.com/Content/SUMMARY_OF_INVESTOR_RIGHTS).

The Fund is currently notified for marketing into a number of EU Member States under the UCITS Directive. The Company may however decide to terminate the arrangements made for the marketing of any share class of the Fund at any time using the process contained in the UCITS Directive.

Any UCITS ETF's units / shares purchased on the secondary market cannot usually be sold directly back to UCITS ETF. Investors must buy and sell units / shares on a secondary market with the assistance of an intermediary (e.g. a stockbroker) and may incur fees for doing so. In addition, investors may pay more than the current net asset value when buying units / shares and may receive less than the current net asset value when selling them.

The Company's portfolio holdings policy is designed to be transparent, whilst being in the best interest of the Fund and protecting the confidentiality of each Fund's portfolio holdings. The full portfolio holdings for the Fund shall generally be available daily, with a one-day lag, on [www.ftglobalportfolios.com](http://www.ftglobalportfolios.com). Any portfolio holdings information which may otherwise be provided on request shall be provided on a confidential basis.

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